

06/22/01

JC965 U.S. PTO

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06-25-01

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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. CR9608 US DIV9

First Inventor BROOKHART ET AL.

Title ALPHA-OLEFINS AND OLEFIN POLYMERS AND PROCESSES THEREFOR

Express Mail Label No. ET284675107US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 499]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
☒ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
Prior application information: Examiner B. Babaga

of prior application No. 08 / 899,002

Group / Art Unit: 1713

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23906

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name	Bart E. Lerman E. I. du Pont de Nemours and Company					
Address	Legal - Patents 1007 Market Street					
City	Wilmington	State	DE	Zip Code	19898	
Country	U.S.A.	Telephone	(302) 992-5285	Fax	(302) 992-2953	
Name (Print/Type)	Bart E. Lerman			Registration No. (Attorney/Agent)	31,897	
Signature				Date	22 June 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if known

TOTAL AMOUNT OF PAYMENT (\$ 710

Application Number	
Filing Date	HEREWITH
First Named Inventor	BROOKHART ET AL
Examiner Name	R. RABAGO (OF PARENT)
Group / Art Unit	1713 (OF PARENT)
Attorney Docket No.	CR9008 US DIV9

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 04-1928

Deposit Account Name: E. I. du Pont de Nemours and Company

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES			Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)		
105	130	205	65				Surcharge - late filing fee or oath	
127	50	227	25				Surcharge - late provisional filing fee or cover sheet	
139	130	139	130				Non-English specification	
147	2,520	147	2,520				For filing a request for reexamination	
112	920*	112	920*				Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*				Requesting publication of SIR after Examiner action	
115	110	215	55				Extension for reply within first month	
116	390	216	195				Extension for reply within second month	
117	890	217	445				Extension for reply within third month	
118	1,390	218	695				Extension for reply within fourth month	
128	1,890	228	945				Extension for reply within fifth month	
119	310	219	155				Notice of Appeal	
120	310	220	155				Filing a brief in support of an appeal	
121	270	221	135				Request for oral hearing	
138	1,510	138	1,510				Petition to institute a public use proceeding	
140	110	240	55				Petition to revive - unavoidable	
141	1,240	241	620				Petition to revive - unintentional	
142	1,240	242	620				Utility issue fee (or resissue)	
143	440	243	220				Design issue fee	
144	800	244	300				Plant issue fee	
122	130	122	130				Petitions to the Commissioner	
123	130	123	130				Petitions related to provisional applications	
126	180	126	180				Submission of Information Disclosure Stmt	
581	40	581	40				Recording each patent assignment per property (times number of properties)	
146	710	246	355				Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355				For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355				Request for Continued Examination (RCE)	
169	900	169	900				Request for expedited examination of a design application	
Other fee (specify)								
*Reduced by Basic Filing Fee Paid								
SUBTOTAL (3) (\$ 0								

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Rescue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
12	-20		0	18	0
2	-3		0	80	0
				270	0

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Rescued independent claims over original patent
110	18	210	9	** Rescued claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0				

**or number previously paid, if greater. For Rescues, see above

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Bart E. Lerman	Registration No. Attorney/Agent	31,897	Telephone	(302) 992-5285
Signature		Date	June 22, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.

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